

Reporting obligation subject to AIFMD

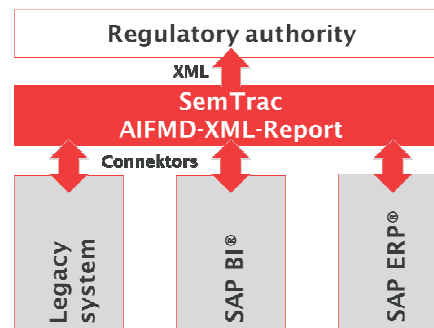
In accordance with the guidelines of the ESMA, the managers of alternative investment funds (AIFM) are obliged to provide extensive information on the alternative investment funds (AIF) administrated by them to their national regulatory authority.:

- Details of the investment strategies as well as primary markets and financial instruments in which investments are made
- Turnovers and total value of the administrated assets
- Primary risks and concentration of the portfolio
- Risk and liquidity figures

XML/XSD file formats are defined for the reports. Reports must be made for each AIFM and separate reports for each administrated AIF.

Integration

The SemTrac AIFM-XML reporting system is a reliable solution which allows the AIFMD reporting obligations to BaFin to be fulfilled on an automated basis.



The solution is integrated in your existing funds management software with the aid of connectors. There are pre-configured connectors for SAP BI® and SAP ERP®.

In addition to this, our solution can be flexibly adapted to customer-specific system landscapes and extended to meet future reporting requirements.

The SemTrac AIFM-XML reporting system can also be provided through our computer centre in the form of Software as a Service.

Data collection

The SemTrac AIFM-XML reporting system collects the necessary source data via the connectors directly from the current master and transaction data of your funds management system. Any other data that may be necessary can be administrated directly in our solution. The data collection and calculation can be adapted by customizing to customer-specific requirements and the configuration of the source system. If necessary, the solution can also be supplemented by customer-specific function modules.

Our solution also offers a direct input option for manual changes and additions to the collected data.

Automated report

The automated report is based on the "Guidelines on reporting obligations under Articles 3(3)(d) and 24(1), (2) and (4) of the AIFMD" published by the ESMA as well as the associated XSD definition for compilation of the following XML reports:

Report files generated:

- AIFM report with the data of the manager (in accordance with AIFMD_DATMAN_Vx.x.xsd)
- AIF report with the data of the managed funds (in accordance with AIFMD_DATAIF_Vx.x.xsd)

Before the reports are sent, the data generated for each report can be revised on the screen or by data export to Excel. In the course of compiling the report, the report data are also subjected to a validation check. Your re-

Electronic reporting system
in accordance with AIFMD (XML
format)

AIFMD-XML report

leased data are then converted at the push of a button to the XML format and can be sent to the BaFin.

The reported data are archived and can be called up again any time and evaluated.

Features

The AIFM-XML reporting system includes the reporting tool, the installation and the customizing as well as detailed user documentation.

In the course of implementation there is a customer-specific adaptation of the data selection to the existing funds management software.

✓ **Integrated solution**

- o Can be integrated in any fund management software via connectors
- o Consideration of the current master and transaction data of the source system
- o Archiving of all reporting data

✓ **Flexible**

- o Data collection can be configured
- o Manual data maintenance and correction options (as required)

✓ **User-friendly**

- o Input aids and validation check
- o Compilation of the report at the push of a button
- o Extensive user documentation

✓ **Future-proof**

- o Ready for release
- o Fulfilment of the legal requirements
- o Support hotline

Advantages at a glance

Our solution combines various advantages for all managers of alternative investment funds.

Contacts

We will be happy to provide more detailed information or a demonstration of the program.

You can arrange an appointment with:

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SemTrac Consulting AG

SemTrac is a young, committed consulting and management company. Alongside industry-specific, social and technical competence, we also have comprehensive experience in project and change management.

Our services are always aimed at providing a holistic and all-in solution for our clients' problems. SemTrac guarantees efficient and low-cost results at a high degree of quality with the aim of saving costs and increasing productivity.

Industry solutions for real estate funds

Investment companies with real estate funds require an IT system that provides flexible support for the fund-specific processes. Software adaptations in the company are expensive and not always ready for release.

SemTrac has developed industry solutions for real estate funds according to the following criteria:

- Fulfilment of all the legal requirements for real estate funds
- Extensive information and reporting tool for public and special funds
- Perfectly adapted to the business processes of investment companies with investment funds
- Future-proof and ready for release
- Modular structure, implementation of individual modules also possible

SemTrac is an SAP partner.



SemTrac Consulting AG